

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

PA1.677

First Inventor

MARK JAMES BECKMAN

Title

PROCESS FOR ASSEMBLY OF SNACK  
AND BEVERAGE

Express Mail Label No.

ER391186257US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 2327  
Alexandria, VA 22313-1450

Arlington, VA

22202

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 31]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Sheets 7]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Reader Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1499 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

OR ☐ Correspondence address below

Name

JOHN E. HALAMKA

Address

P.O. BOX 207

City

PALOS VERDES ESTATES

State

CA

Zip Code

90274

Country

LOS ANGELES

Telephone

310-316-6100

Fax

3105418290

Name (Print/Type)

JOHN E. HALAMKA

Registration No. (Attorney/Agent)

30177

Signature

*John E. Halamka*

Date

12/4/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

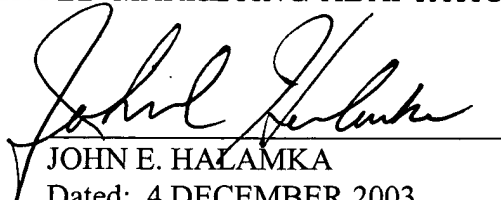
Docket No.: PA1.677

Express Mail No.: ER391186257US

In re Patent of  
MARK JAMES BECKMAN

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service as EXPRESS Mail Service  
to addressee service under 37 CFR 1.10,  
postage paid, on the date indicated and  
is addressed to:  
U.S. PATENT AND TRADEMAR OFFICE  
P.O. BOX 2327  
ARLINGTON, VA 22202  
on 4 DECEMBER 2003

For: PROCESS FOR ASSEMBLY AND INSTALLATION OF A SNACK  
PACKAGE ADAPTED FOR ATTACHMENT TO A BEVERAGE CONTAINER  
THEREBY ALLOWING THE COMBINATION TO TAKE UP THE SAME  
SHELF SPACE AS THE CONTAINER ALONE FOR VENDING AND OFF-  
THE-SHELF SALES WITH AUGMENTED MARKETING ADAPTATION

  
JOHN E. HALAMKA  
Dated: 4 DECEMBER 2003  
PALOS VERDES ESTATES, CA  
LOS ANGELES COUNTY

UNITED STATES POSTAL SERVICE EXPRESS MAIL FILING

Express No. ER391186257US

Docket PA1.677

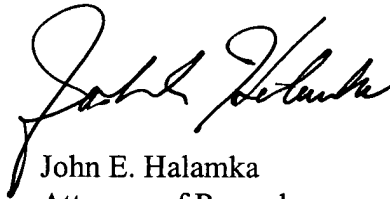
The commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-0207. A duplicate copy of this sheet is enclosed.

[ XX ] The fee for filing this application for Patent authorized by 37 CFR which we believe to be \$385.00 PLUS \_\_\_ EXCESS INDEPENDENT CLAIMS in the sum of \$\_\_\_ PLUS \_\_\_ CLAIMS IN EXCESS OF TWENTY in the sum of \$\_\_\_.

[ XX ] Any additional fees under 37 CFR.

[ XX ] Any processing fees under 37 CFR.

Respectfully submitted,



John E. Halamka  
Attorney of Record  
P.O. BOX 207  
PALOS VERDES ESTATES,  
CALIFORNIA 90274  
310-316-6100  
halamka@usc.edu

UNITED STATE PATENT AND TRADEMARK OFFICE  
COMBINED DECLARATION AND POWER OF ATTORNEY  
(Original, Design, National Stage of PCT, Supplemental, Divisional,  
Continuation or CIP Application)

As a below named inventor, I hereby declare that:

This declaration is for the following type application:

XX: original  
\_\_\_\_\_  
:\_\_: design  
\_\_\_\_\_  
:\_\_: national stage of PCT  
\_\_\_\_\_  
:\_\_: supplemental  
\_\_\_\_\_  
:\_\_: divisional  
\_\_\_\_\_  
:\_\_: continuation  
\_\_\_\_\_  
:\_\_: continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are stated below next to my name. I believe I am the original, first and sole inventor (or an original, first and joint inventor) of the subject matter which is claimed and for which a patent is sought on the invention entitled: \_\_ PROCESS FOR INSTALLING A SNACK PACKAGE ADAPTED FOR ATTACHMENT TO A BEVERAGE CONTAINER AND INSERTING THE COMBINATION INTO A STANDARD VENDING MACHING THEREBY ALLOWING THE DESPENSING THE COMBINATION USING THE EXISTING BEVERAGE DISPENSING METHOD\_\_

SPECIFICATION IDENTIFICATION

the specification of which:

XX: is attached hereto.  
\_\_\_\_\_

:\_\_ : was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ ,  
and was amended on \_\_\_\_\_ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to the declaration. Accordingly, the amendments involved are those filed with the application papers, or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

#### PCT APPLICATION ENTERING NATIONAL STAGE

\_\_\_\_\_  
:\_\_ : was described and claimed in International  
Application No. \_\_\_\_\_ , filed on \_\_\_\_\_ , and amended on \_\_\_\_\_ (if  
any).

#### ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations Section 1.56 (a).

\_\_\_\_\_  
:\_\_ : In compliance with this duty there is attached an information disclosure statement. 37 C.F.R. 1.97.

#### PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign applications/s for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

\_\_\_\_\_  
:XX: no such applications have been filed.

\_\_\_\_\_  
:\_\_ : such applications have been filed as follows:

Page 3 PA1677

:: \_\_: yes : \_\_: no

## POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney/s to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

JOHN E. HALAMKA, ESQ.

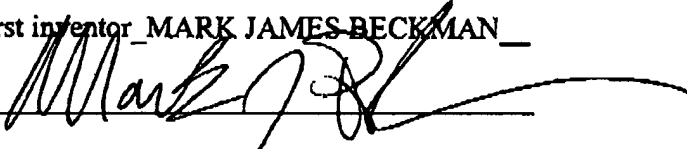
Reg. No. 30,177

Send Correspondence to: Direct telephone calls to:  
John E. Halamka, Esq. John E. Halamka, Esq.  
P.O. Box 207, 310) 316-6100  
Palos Verdes Estates, CA 90274 Fax (310) 541-8290  
Email [halamka@usc.edu](mailto:halamka@usc.edu)

## DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## SIGNATURES

Full name of sole or first inventor MARK JAMES BECKMANInventor's signature Date 26<sup>th</sup> NOVEMBER 2003 Country of Citizenship USAResidence 11630 Gorham #105, Los Angeles, CA 90049

Post Office Address \_\_\_\_\_

Full name of second joint inventor, if any N/A

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship \_\_\_\_\_

Residence CALIFORNIA

Post Office Address-11630 Gorman #105, Los Angeles, CA 90049

Full name of second joint inventor, if any, Inventor's

signature\_\_\_\_\_

Date \_\_\_\_\_ 2003 Country of Citizenship \_\_\_\_

Residence\_\_\_\_\_

Post Office Address-

The following pages form a part of this Declaration:

\_\_\_\_\_  
:\_\_: Signature for third and subsequent joint inventors.  
Number of pages added \_\_\_\_\_.

\_\_\_\_\_  
:\_\_: Signature by administrator(trix), executor(trix), or  
legal representative for deceased or incapacitated inventor. Number  
of pages added \_\_\_\_\_.

\_\_\_\_\_  
:\_\_: Signature for inventor who refuses to sign or  
cannot be reached by person authorized under 37 C.F.R. 1.47.  
Number of pages added \_\_\_\_\_.

\_\_\_\_\_  
:\_\_: Added pages to combined declaration and power of  
attorney for divisional, continuation, or continuation-in-part (CIP)  
application.

\_\_\_\_\_  
:XX: Added Verified Statement (Declaration) Claiming  
Small Entity Status (37 CFR 1.9(f) and 1.27(b))

\_\_\_\_\_  
:1: Total pages added to this Declaration